

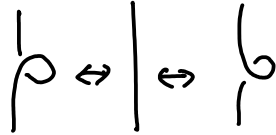
# ZMP seminar: 3d TQFT and modular functors

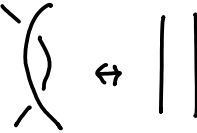
- 1) Ribbon categories and link invariants
- 2) Modular tensor categories and Reshetikhin-Turaev TQFTs
- 3) Modular functors

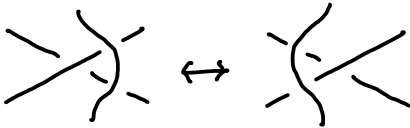
## 1) Ribbon categories and link invariants

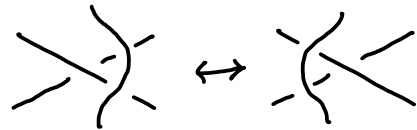
Two links in  $\mathbb{R}^3$  with colours  

Reidemeister moves

I) 

II) 

III) 



Idea: braided cat. with duals

$\mathcal{C}$ : cat. e.g.  $\text{vect}$  : f.d.  $\mathbb{C}$ -v.sp. & lin. maps

$\text{vect}^{\mathbb{Z}_N}$  :  $\mathbb{Z}_N$ -graded f.d.  $\mathbb{C}$ -v.sp. and grade pres. lin. maps

$$V = V_0 \oplus V_1 \oplus \dots \oplus V_{N-1}$$

tensor  $\otimes$  functor  $\otimes : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$

e.g.  $\text{vect}$  :  $U, V \mapsto U \otimes_{\mathbb{C}} V$

$\text{vect}^{\mathbb{Z}_N}$  :  $\text{s.th. } (U \otimes V)_\alpha = \bigoplus_{x \in \mathbb{Z}_N} U_x \otimes V_{\alpha-x}$

2) isom.  $U \otimes (V \otimes W) \xrightarrow{\alpha_{UVW}} (U \otimes V) \otimes W$  associator

e.g. vect :  $x \otimes (y \otimes z) \mapsto (x \otimes y) \otimes z$  "  $\alpha = id$  "

vect  $\mathbb{Z}_N$  :  $\dots \mapsto \omega(|x|, |y|, |z|) \cdot \dots$

$\omega : \mathbb{Z}_N \times \mathbb{Z}_N \times \mathbb{Z}_N \rightarrow \mathbb{C}^*$

two ways  $U(V(W \times 1)) \xrightarrow{\sim} ((U \otimes V) \otimes W) \times$  "pentagon"

e.g.

$a, b, c, d \in \mathbb{Z}_N : \omega(b, c, d) \omega(a, b+c, d) \omega(a, b, c)$   
 $= \omega(a+b, c, d) \omega(a, b, c+d)$

3) unit  $\mathbb{1}$ , isom. ... e.g.  $\mathbb{1} = \mathbb{C}$ , resp.  $\mathbb{C}_0$

braided: isom.  $U \otimes V \xrightarrow{c_{UV}} V \otimes U$

e.g. vect :  $x \otimes y \mapsto y \otimes x$

vect  $\mathbb{Z}_N$  :  $x \otimes y \mapsto \beta(|x|, |y|) y \otimes x$

$\beta : \mathbb{Z}_N \times \mathbb{Z}_N \rightarrow \mathbb{C}^*$

+ hexagon conditions

e.g.

$a, b, c \in \mathbb{Z}_N$   $\omega \beta(a+b, c) \omega = \beta(a, c) \omega \beta(b, c)$   
 $\omega \beta(a, b+c) \omega = \beta(a, b) \omega \beta(a, c)$

e.g.:  $\omega = 1$   $\beta(a, b) = e^{\frac{2\pi i}{N} ab}$

duals: for all obj  $U \in \mathcal{C} : U^*$ ,  $U^* U \xrightarrow{ev} \mathbb{1}$   $U U^* \rightarrow \mathbb{1}$   
 $\mathbb{1} \xrightarrow{coev} U U^*$   $\mathbb{1} \rightarrow U^* U$

+ conditions

e.g.  $\text{vect}^+ : \text{dual v.sp. } U^* \otimes U \rightarrow \mathbb{C}$

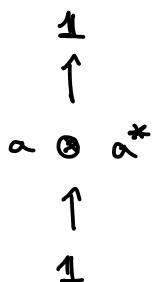
$$\mathbb{C} \rightarrow U \otimes U^* , 1 \mapsto \sum u_i \otimes u_i^* \\ \downarrow \cong \\ \text{Hom}(U, U)$$

$$\text{vect}_{1, \beta}^{\mathbb{Z}_N} : (U^*)_a := (U_{-a})^*$$

How to compute



$\cong$



e.g.  $\text{vect} : a = V , \dots = \dim V$

Reidemeister?

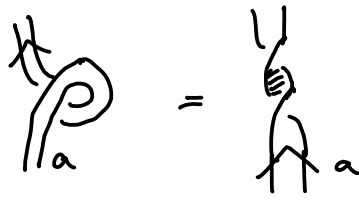
E.g. II = :  $c \circ c^{-1} = \text{id} \quad \checkmark$

I =  $a$

$\text{vect} : \checkmark$

$\text{vect}_{1, \beta}^{\mathbb{Z}_N} : \beta(x, x) = 1 \quad \downarrow$

framed, oriented links



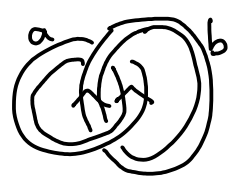
ribbon cat. + cond. br. tens. cat, duals + twist : iso  $U \xrightarrow{\Theta_U} U$

e.g vect :  $\Theta_U = id_U$

$$\text{vect}_{\omega, \beta}^{\mathbb{Z}_N} : U \longrightarrow U$$

$$x \longmapsto \beta(1 \times 1, 1 \times 1) \cdot x$$

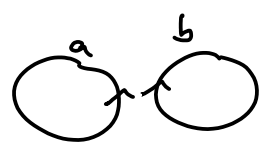
Compute :



$$\text{vect} : a = U, b = V \dots = \dim U \cdot \dim V$$

$$\text{vect}_{1, \beta}^{\mathbb{Z}_N} : a = C_x, b = C_y$$

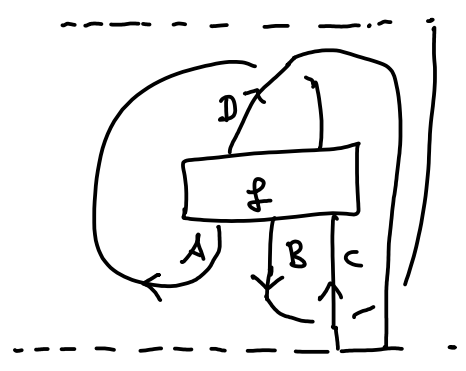
$$\dots = \beta(x, y) \cdot \beta(y, x) = e^{\frac{4\pi i}{N} xy}$$



$$\text{vect} : \dim U \dim V$$

$$\text{vect}_{1, \beta}^{\mathbb{Z}_N} : 2$$

More general ribbon tangle :



$$f : A^* B^* C \rightarrow D A^*$$

← evaluates to morph

$$C D^* \rightarrow B \quad \text{in } \mathcal{C}$$

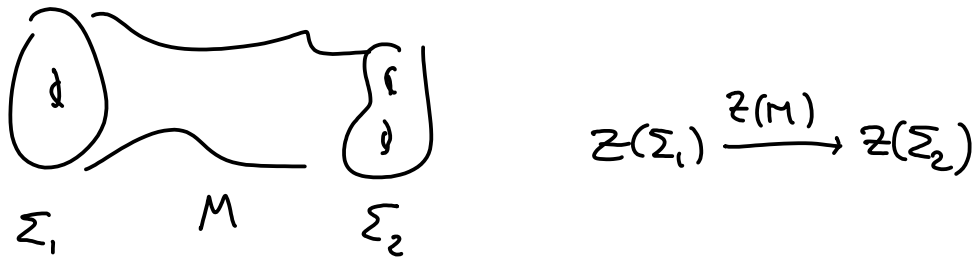
Thm (Reshetikhin, Turaev '90) "evaluation in  $\mathcal{C}$  only depends on isotopy class of ribbon tangle in  $\mathbb{R}^2 \times [0, 1]$ ."

2) MTC and RT TQFTs

3d TQFT :

closed 3mf  $M \rightsquigarrow Z(M) \in \mathbb{C}$

closed 2mf  $\Sigma \rightsquigarrow Z(\Sigma)$ , a  $\mathbb{C}$ -v.sp. (fin. dim.)

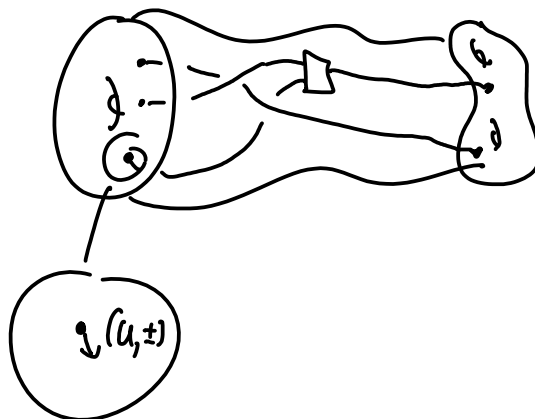


$Z(\Sigma_1 \cup \Sigma_2) \simeq Z(\Sigma_1) \otimes Z(\Sigma_2)$

$\Sigma_2 \cup \Sigma_1 \longleftarrow$  flip of tens. fact.

Def A 3d TQFT is a symmetric monoidal functor  $\text{Bord}_3 \rightarrow \text{Vect}$

Want also ribbon gr. :



RT constr. : semi-simple ribbon cat /  $\mathbb{C} + (F) + (N)$

(F) finite # of simple obj  $S_i$  ( $i \in I$ )

modular tensor cat.

e.g. vect :  $S = \mathbb{C} \quad |I|=1$

vect  $\mathbb{Z}_N$  :  $S_x = \mathbb{C}_x, x \in \mathbb{Z}_N, |I|=N$

Properties :  $Z(S^2, \begin{array}{c} \text{---} \\ \cdot x_1 \quad \dots \quad \dots \quad \dots \quad \cdot x_n \\ \text{---} \end{array}) \cong \text{Hom}_{\mathbb{C}}(1, X_1 \otimes \dots \otimes X_n)$

$Z(B^3, \begin{array}{c} \text{---} \\ \cdot x_1 \quad \dots \quad \dots \quad \dots \quad \cdot x_n \\ \text{---} \\ \boxed{\not\cong} \end{array}) \longleftarrow \not\cong$

$Z(T^2, \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \end{array}) \cong \text{Hom}_{\mathbb{C}}(1, L) \equiv \bigoplus_{i \in I} U_i \otimes U_i^*$

$Z(\begin{array}{c} \text{solid torus} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array}, U_i) \longleftarrow \text{Coev}_{U_i}$

$Z(\Sigma_g, X_1 \dots X_n) \cong \text{Hom}_{\mathbb{C}}(1, X_1 \dots X_n L^{\otimes g})$

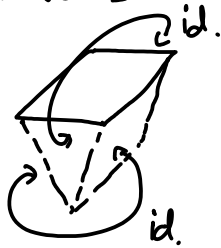
Compute a bit :

$Z(S^2, i, j) = \text{Hom}_{\mathbb{C}}(1, U_i \otimes U_j)$   
 $\wedge \begin{array}{l} 1\text{-dim} : U_j \cong U_i^* \\ 0\text{-dim} : \text{else} \end{array}$

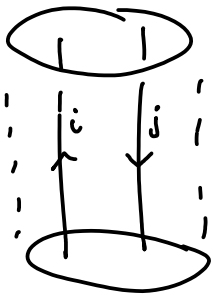
$Z(\Sigma \times S^1) = \int_{Z(\Sigma)} \text{id}_{\Sigma} = \dim Z(\Sigma)$

$Z(S^2, \begin{array}{c} \text{---} \\ | \quad | \\ \cdot x_1 \quad \cdot x_2 \\ | \quad | \\ \text{---} \end{array}) = \delta_{ij}$

solid torus

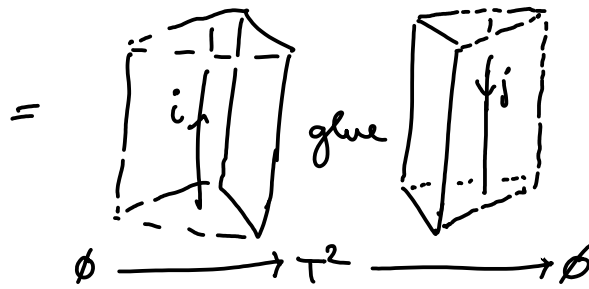


$S^2 \times S^1$



apply  $Z$

$\delta_{ij}$



(\*)

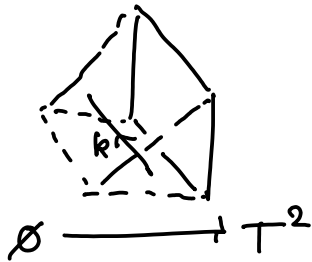
$$\mathbb{C} \rightarrow Z(T^2) \rightarrow \mathbb{C}$$

Now

$$\sum_i Z \left( \begin{array}{c} \text{[Diagram of a cube with vertical lines } i \text{ and } j \text{]} \\ T^2 \rightarrow \emptyset \end{array} \right) = \text{id}_{Z(T^2)} \quad (**)$$

1) Condition (N)

act on



to get

$$Z \left( \uparrow \right) = \sum_i Z \left( \begin{array}{c} S^3 \\ i \text{ [Diagram of two circles with arrows] } k \\ \text{!!} \\ S_{ik} \end{array} \right) Z \left( \right)$$

Thus

(N)  $|I| \times |I|$ -Matrix  $S$  must be invertible

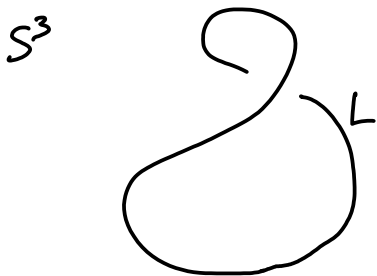
Now  $S_{ik} = Z(S^3) \cdot (\text{ribbon inv. of } \bigcirc_{ik})$

e.g. vect :  $i=k=1$ ,  $\uparrow = 1$  MTC ✓

$$\text{vect}_{1,\beta}^{\mathbb{Z}_N} : S_{xy} = Z(S^3) \cdot e^{\frac{4\pi i}{N} xy}$$

invertible for  $N$  odd  
not inv. for  $N$  even

## 2) Surgery



cut torus around  $L$ ,  
glue back after  $S$ -transf.

$$Z(\text{ surg. along } L) = \sum_{i \in I} Z(S^3 \bigcirc_{\pi_i}) \cdot Z(S^3) \cdot \text{rib. inv.}(\bigcirc^i)$$

Thm (Reshetikhin-Turaev '91, Turaev '94)

A MTC  $\mathcal{C}$  gives sym. mod. fun.

(bord. with  $\mathcal{C}$ -col. ribbons + ... )  $\longrightarrow$  vect  
 $\uparrow$  cancel anomaly



### 3) Modular functor

$\mathcal{C}$  : finite s.s.i. cat.

Cat  $\mathcal{M}_{\mathcal{C}}$  :

obj:



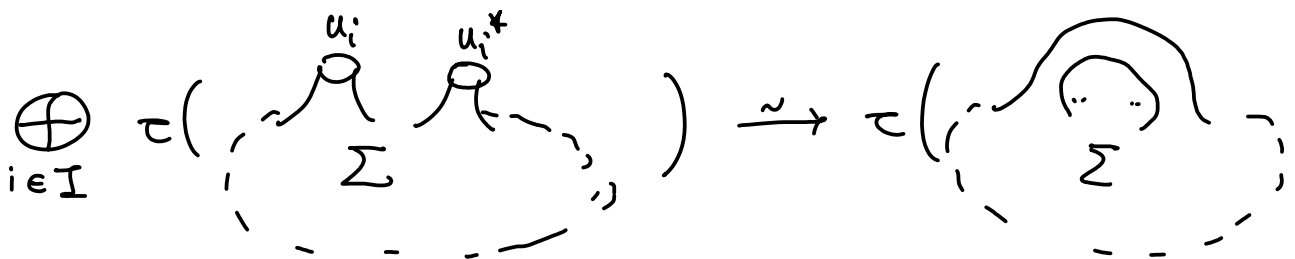
morph: homeo comp. with bud. param / isotopy

e.g.  $\text{Hom}(\Sigma, \Sigma)$  : mapping class group of  $\Sigma$

Def:

A modular functor for  $\mathcal{C}$  consists of

- a sym. mon. functor  $\tau: \mathcal{M}_{\mathcal{C}} \rightarrow \text{vect}$
- gluing maps  $G(\Sigma)_{a,b}$



+ conditions.

Thm. (Moore-Seiberg '89, Turaev '94)

A MTC  $\mathcal{C}$  gives a m.f. for  $\mathcal{C}$

Idea:

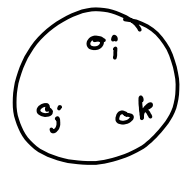
$$\tau(\Sigma) = \mathbb{Z}(\Sigma)$$

$$\tau(\Sigma \xrightarrow{f} \Sigma') = \mathbb{Z}(\Sigma \xrightarrow{\mathbb{L}} \Sigma \times [0,1] \xleftarrow{\text{id}} \Sigma')$$

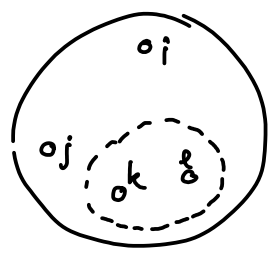
Thm. (Bakalov-Kimillov '01)

A mf. for  $\mathcal{E}$  plus one extra assumption (duals)  
gives an MTC on  $\mathcal{E}$ .

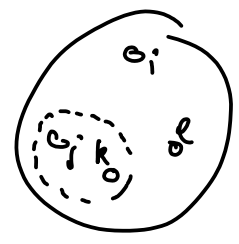
Idea tens. prod.



assoc.



vs



braid.

